

विक्रम सिंह गौड़
VIKRAM SINGH GAUR
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Top Priority

C & S, Fin (ST)
13-6-16

भारत सरकार
नीति आयोग, संसद मार्ग
नई दिल्ली-110 001
Government of India
NATIONAL INSTITUTION FOR TRANSFORMING INDIA
New Delhi-110 001



D.O. No. M-13022/5/2016-S-Co

Addl. C.S. (P.W.)
Addl. C.S. Finance
Addl. C.S. (W.P. T & B-C)
Comm. Secy. (H.A.S.)

Kindly find enclosed herewith a copy of the draft guidelines for utilization of onetime Special Assistance of Rs 1000 Crore sanctioned to areas under the Sixth Scheduled of the Constitution of India under Demand No 37 for FY 2015-16.

A meeting to discuss and finalize the guidelines under the Chairmanship of Additional Secretary, NITI Aayog will be held on 21st June 2016 at 11.00 AM in Committee Room No. 134. NITI Aayog, New Delhi. I would be grateful if you kindly have the comments on the draft guidelines sent by 15th June, 2016, so that they could be discussed in the meeting to finalize the guidelines. The soft copy of the comments may be mailed at vikramsingh.g@nic.in

Further, it is also requested to kindly send the status of release of the allotted fund to concerned Autonomous District Councils under sixth scheduled area and approval status of various projects out of this allotted fund.

I shall be grateful, if you could kindly make it convenient to attend the meeting or depute the nominee representative, preferably Principal Secretary Planning / Finance to participate in the meeting.

With regards,

Encl: As above.

Office of the Addl. CS
P & RD / Agriculture Dept., Dispur
Dy. No. 551
Date 14/6/16

Yours sincerely,

(Vikram Singh Gaur)

Shri V.K. Pipersenia
Chief Secretary
Govt. of Assam
Dispur
Guwahati -781000
ASSAM

Office of the Commr. & Secy.
to the Govt. of Assam
Finance Department
Dispur, Guwahati-78
Dy. No. 1483
Date 15/6/16



एक कदम स्वच्छता की ओर



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Government of India
NATIONAL INSTITUTION FOR TRANSFORMING INDIA
NITI Aayog, Parliament Street
New Delhi-110 001

D.O. No. M-13022/5/2016-S-Co

10th June, 2016

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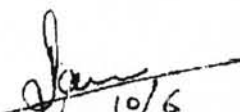
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(Vikram Singh Gaur)
10/6

Shri V.K. Pipersenia
Chief Secretary
Govt. of Assam
Dispur
Guwahati -781000
ASSAM



NITI Aayog
(States Coordination Division)

Guidelines for utilization of Special Assistance of Rs. 1,000 crore sanctioned to areas covered under the Sixth Schedule of the Constitution

Background Details

1. An amount of Rs. 1,000 crore has been released to Mizoram and Tripura for areas covered under the sixth schedule of the constitution vide letter dated 18.02.2016 of the Ministry of Finance (copy enclosed as Annexure 'A'). The amount has been released as One Time Special Assistance to these States for sixth schedule areas. Special Assistance is required to be utilized by the State Governments (through concerned District Councils) only for the projects to be proposed by the State Governments and intimated to NITI Aayog. The released amount needs to be transferred by the respective State Governments to the areas as per details given below:-

		Rs. in crore
State	District	Allocation
Assam	Karbi Anglong Autonomous Council (KAAC)	94.11
	North Cachar Hills Autonomous Council (NACHAC)	24.66
	Bodoland Territorial Council (BTC)	421.02
	Total for Assam	539.79
Meghalaya	Khasi Hills Autonomous District Council (KHADC)	133.12
	Garo Hills Autonomous District Council (GHADC)	100.71
	Jaintia Hills Autonomous District Council (JHADC)	33.57
	Total for Meghalaya	267.40
Mizoram	Lal Autonomous District Council (LADC)	4.64
	Mara Autonomous District Council (MADC)	7.64
	Chakma Autonomous District Council (CADC)	4.58
	Total for Mizoram	16.86
Tripura	Tripura Tribal Areas Autonomous District Council	175.95
	Total for Tripura	175.95
Grand Total		1000.00

2. These Guidelines have been framed with an objective to facilitate planning of suitable projects and timely implementation of planned projects with proper utilization of the Special Assistance of Rs. 1,000 crore. These guidelines have been given in the subsequent paras.

Implementation Modalities

3. District Council Level Committees

3.1 A District Council Level Committee headed by the Chief Executive Member or equivalent of the Autonomous Council and comprising of Members of the Council/Department Heads will be constituted by the Autonomous District Council and will be responsible for planning and implementation of projects. The size of the Committee may be between 5 to 10 members. The Committee will have the flexibility to spend the amount for development activities according to need as assessed by it and as per these guidelines. The overall objective should be to use the funds available under Special Assistance in convergence with other Centrally Sponsored Schemes/ State Plan Schemes so that these districts register significant progress in terms of socio-economic parameters. The Grants provided are intended to be used to support and strengthen the delivery of basic civic services including water supply, sanitation including septic management sewage and solid waste management, storm water drainage, maintenance of community assets, maintenance of roads, footpaths, street-lighting, burial and cremation grounds and any other basic service within the functions assigned to them under relevant legislations. Suitable projects may be planned by the District Council Level Committee and sent to the State Level Committee for approval. Details of approved projects are required to be sent by the State Government to NITI Aayog.

3.2 A suitable form of consultation is to be ensured with the local people through elected representatives including Members of Parliament (MPs) and Members of Legislative Assembly (MLAs) while proposing projects. Meeting with elected representatives may be held quarterly to review the progress of project planning and implementation and a report on the discussions held and the recommendations made in review meetings may be sent by the District Council Level Committee to the State level Committee.

4. State Level Committee

4.1 A State Level Committee headed by Chief Secretary may be constituted to examine the project proposals received from the District Council Level Committees. The projects need to be examined keeping in view the overarching principle that the funds are used to provide basic civic services such as described above to the people and there is effective convergence with other Central Sector Schemes / Centrally Sponsored Schemes/ State Plan Schemes. The State Level committee would ensure coverage of all villages/habitations. The State Level Committee will approve suitable projects and intimate the details of approved projects to NITI Aayog. The State level Committee will meet at least once in a quarter to monitor the progress so as to ensure timely implementation of approved projects with expected level of quality.

5. Identification and Prioritization of Projects

5.1 The projects should cover subjects which have been transferred to the Autonomous District Councils and should provide help to councils in delivery of the basic civic services to the people. The projects should show measurable outcomes. Only projects which can be completed with one-time assistance being provided should be taken up. It may be noted that any committed liability beyond the funds allocated for the projects out of One Time Assistance shall not be provided by the Central Government.

5.2 The expenditure on these projects should be over and above the expenditure being incurred under regular State Plan Schemes / Central Sector Schemes / Centrally Sponsored Schemes. The District Council Level Committee and State Level Committee should ensure that there is no duplication of expenditure on the same project. The expenditure should be incurred as per the prescribed Financial Guidelines / Rules of the respective State Governments applicable for areas covered under the sixth schedule of the Constitution. In order to maximize the benefits from Special Assistance, a conscious effort should be made for planning and implementation of projects through convergence with other State Plan Schemes / Central Sector Schemes / Centrally Sponsored Schemes. The District Council Level Committees and the State Level Committees shall map all on-going schemes and available outlays under various schemes for planning of suitable projects and implementation of planned projects through convergence with other schemes.

Fund flow mechanism

6. Funds released from the Central Government would be deposited in Consolidated Fund of the State Government. *The state government will promptly release the funds directly to the concerned autonomous councils.*
Release of funds

7. The funds have already been released to the concerned State Governments vide letter No. 44(1)/2015-1483 dated 18th February, 2016 of the Ministry of Finance (copy enclosed as Annexure 'A'). The amount shall be promptly transferred to the concerned councils by the respective State Governments as per the details provided in the said letter dated 18th February, 2016 of the Ministry of Finance (Annexure 'A').

Utilization of Interest Earned on Deposits

8. Interests accrued on the deposits shall be treated as additional resources and would be utilized for implementation of new projects as proposed by the District Council Level Committee and approved by the State Level Committee. Details of such projects should also be informed to NITI Aayog.

Maintenance of Accounts

9. The Chief Executive Member heading the District Council Level Committee shall be responsible for maintenance of the accounts for the funds (Special Assistance) allotted to the Council as per the norms and procedure being observed for the funds allocated by the Central Government under Centrally Sponsored Schemes or other Central Government Grants. The Chief Executive Member will also ensure that any executing agency entrusted with the project implementation by utilizing the funds (Special Assistance) will also maintain separate account. Drawing of funds for making payment towards the works undertaken by the executing agencies will be as per prescribed Financial Rules of the concerned State Government applicable for areas under the sixth schedule of the Constitution.

Inspection of Works

10. In order to ensure expected level of quality, works undertaken should be regularly inspected by a team of officers as decided by the District Council Level Committee as per the norms and procedure as fixed by the Central/ State Government

for the other Central Government Grants. Inspection Reports should be sent by the District Council Level Committee timely to the State Level Committee so that progress of project implementation and the quality can be reviewed in the quarterly meetings of the Committee (State Level Committee).

Submission of Utilization Certificates

11. The Chief Executive Member of the Autonomous District Council will furnish Utilization Certificates (financial and physical) timely to State Governments and State Government would further consolidate and submit to NITI Aayog in the prescribed format.

Audit by CAG

12. The Comptroller and Auditor General of India will audit the release and transfer of the grants and may also conduct audit of expenditure. The audit report along with the actions taken on the Auditor's observations will be submitted by the Chief Executive Member of the Autonomous District Councils to NITI Aayog through the State Government. In addition, physical and financial audit of the works taken up under the scheme may also be carried by the office of the C&AG.

Monitoring of Implementation of Works

13. Monitoring of the project implementation, scrutiny of expenditure and other related modalities would be carried out by the District Level Council Committee and reviewed by the State Level Committee on a quarterly basis. The status on the project implementation as observed in the quarterly report should be reported to NITI Aayog. Progress would also be reviewed by NITI Aayog from time to time.